

## INFORMATIONAL MEMORANDUM



October 26, 2018

To: Chief Executive Officers  
All Farm Credit System Institutions

From: Gary K. Van Meter, Director  
Office of Regulatory Policy

Subject: Guidelines for Requesting Certificates of Good Standing, Authenticity, and Merger or Consolidation

The Farm Credit Administration is issuing this informational memorandum (IM) to provide guidance for requesting certificates of good standing, authenticity, and merger or consolidation for Farm Credit System institutions.

FCA is the federal regulator of the Farm Credit System, and we issue these certificates upon request. We certify these documents by affixing the FCA seal to them. See section 5.17 of the Farm Credit Act of 1971, as amended.

This IM supersedes the prior IM on this issue dated Jan. 22, 2014. These guidelines are substantively unchanged from those provided in the 2014 IM, but this version contains updated contact information.

### **Certificates of good standing**

By issuing a certificate of good standing, FCA certifies that the System institution is

- a federally chartered instrumentality of the United States and an institution of the Farm Credit System,
- duly authorized to act in accordance with the provisions of its charter and the authorities conferred by the Farm Credit Act of 1971, as amended, and
- operating in good standing under the Farm Credit Act and FCA regulations.

### **Certificates of authenticity**

By issuing a certificate of authenticity, FCA certifies that a specific System institution document is a true and accurate copy.

### **Certificates of merger or consolidation**

By issuing a certificate of merger or consolidation, FCA certifies the following:

- The effective date of the merger or consolidation
- The name of the continuing System institution
- That the FCA board gave final approval to the plan of merger or consolidation
- That all System institutions subject to the merger or consolidation are federally chartered instrumentalities of the United States and are regulated by FCA

### **Requests for certificates**

FCA will provide certificates upon request. To request a certificate, please send an email to [CertificatesORP@fca.gov](mailto:CertificatesORP@fca.gov). There is a processing fee for issuing certificates, and the amount of the fee will be emailed to the requester, or their designee, after the certificate is issued.

Please include the following information in your request:

- The name of the institution for which the certificate is to be issued.
- Your name, address, and your affiliation with the System institution for which you are requesting a certificate.
- Type of certificate requested (i.e., a certificate of good standing, authenticity, or merger or consolidation).
- Reason for the request.
- Number of copies requested.
- Address to which the certificate should be mailed. Typically, we send certificates by standard mail through the United States Postal Service. However, if you prefer, we can send them via United Parcel Service (UPS). You will need to provide a UPS account number for shipping charges.

### **For more information**

If you have any questions, please contact

- Barry F. Mardock, Deputy Director, Office of Regulatory Policy, at [mardockb@fca.gov](mailto:mardockb@fca.gov) or (703) 883-4456, or
- Paul K. Gibbs, Associate Director, Office of Regulatory Policy, at [gibbsp@fca.gov](mailto:gibbsp@fca.gov) or (703) 883-4203.